

<b>Form 1449 (Modified)</b>		<b>Atty. Docket No.:</b> ISAA0043CIP	<b>Serial No.:</b> 09/675,992
<b>Information Disclosure</b> <b>Statement By Applicant</b>		<b>Applicant:</b> Walter Lee et al	<b>Examiner:</b> Najarian, Lena
		<b>Filing Date:</b> 09/29/2000	<b>Group:</b> 3626

**Non-Patent Literature Documents**

<b>Examiner Initial</b>	<b>No.</b>	<b>Author, Title, Date, Place (e.g. Journal) of Publication</b>
<i>LN</i>	1	Kim S. Nash, "Bank Enlists Neural Net to Fight Fraud", Computerworld, vol. 25, No. 51, pp. 53, Jan 1992
<i>LN</i>	2	Anonymous, "Mellon Buys Software to Fight Card Fraud", American Banker, vol. 156, No. 238, pp. 3, Dec. 1991
<i>LN</i>	3	Karen Gullo, "Neural Nets Versus Card Fraud: Chase's Software Learns to Detect Potential Crime", American Banker, vol. 155, No. 23, pp. 3, Feb. 1990
<i>LN</i>	4	Marose, Robert A., "A Financial Neural-Network Application", AI Expert, vol. 5, No. 5, May 1990 pp. 50-53
<i>LN</i>	5	Seidenberg, John P. et al., "Chase Employing Neural Network to Combat Card Fraud", CARD NEWS, vol. 4, No. 22, Nov. 13, 1989
<i>LN</i>	6	"New Automated'Experts' Ready for Lenders", Aba Banking Journal, vol. 84, No. I, Jan 1992
<i>LN</i>	7	"Banks Wise Up to the Expertise of Artificial Intelligence Systems", Bank Technology News, Sep. 1992
<i>LN</i>	8	"Neural Nets Versus Card Fraud: Chase's Software Learns to Detect Potential Crime", American Banker, vol. 155, No. 23, Feb. 2, 1990
<i>LN</i>	9	Joachim Utans and John Moody, "Selecting Neural Network Architectures via the Prediction Risk: Application to Corporate Bond Rating Prediction", IEEE, 1991
<i>LN</i>	10	Boris, Larry, "People vs. Machine: A Case for Automated Tracking Systems," Credit World, vol. 80, No. 5, May/Jun. Aug 1992
<i>LN</i>	11	"Closing Ranks Against Fraud", Bank Systems & Technology, vol. 29, No. 2, Feb 1992.*
<i>LN</i>	12	Punch, Linda, "A Banner Year for the Crooks", Credit Card Management, vol. 4, No. 12, Mar 1992
<i>LN</i>	13	Rumelhart, D.E., et al., "Learning Representations by Back-Propagating Errors", Nature v 323 pp. 533-536 (1986).

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<i>LN</i>	14	Hecht-Nielsen, R., "Theory of the Backpropagation Neural Network", Neural Networks for Perception, pp. 65-93 (1992)
<i>LN</i>	15	Weigend, A.S., et al., "Generalization by Weight-Elimination with Application to Forecasting", Advances in Neural Information Processing Systems 3, pp. 875-882 <i>date not available</i>

Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered.  
Include copy of this form with next communication to applicant.

*Lena nafuan 3-7-07*

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Sheet 1 of 1

FORM PTO-1449  
(REV. 6-89)U.S. DEPARTMENT OF COMMERCE  
PATENT & TRADEMARK OFFICEAttorney's Docket No.  
13553-05122Application No.  
09/675,992

## INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Applicant

Walter Lee, et al.

Filing Date

September 29, 2000

Group Art Unit

2161 3626

## U.S. PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
	A1						
	A2						
	A3						
	A4						
	A5						
	A6						
	A7						
	A8						
	A9						
	A10						

TC 1700 MAIL ROOM  
 REC'D 2/2/01  
 RECD

## FOREIGN PATENT DOCUMENTS

		Document Number	Date	Country	Class	Subclass	Translation	
							Yes	No
LN	B1	4-220758	08/11/92	Japan	G06F	15/18	X	
LN	B2	4-113220	04/14/92	Japan	G01	3/00	X	
LN	B3	63-184870	07/30/88	Japan	G06F	15/30	X	
LN	B4	62-75768	04/07/87	Japan	G06F	15/30	X	
	B5							

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

LN	C1	Demby, E. Fighting Fraud in Cyberspace Collections and Credit Risk, April 2000, Vol 5, No. 4 pp 67,72.
	C2	Murphy, P. Fighting Internet Card Fraud, Credit Card Management, July 2000, Vol 13, No. 4, pp18-26.
	C3	Vendors Cast Their Neural Nets to Catch Fraud in Online Sales; Debt Card News, Vol. 4, No. 23, May, 1999.
LN	C4	International Search Report for PCT/US01/30193 2002 LN 326-07
	C5	
	C6	

EXAMINER

Lena Nafarian

DATE CONSIDERED

7-6-05

EXAMINER: Initial if references considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.